

13281 U.S. PTO
121503

S&H Form: PTO/SB/05 (2/02)

031356 U.S. PTO
10734133

121503

**CONTINUING
(1.53(b)) UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for continuing applications under 37 CFR 1.53(b))

Attorney Docket No.
1539.1004REC

First Named Inventor:
Tomowaki Takahashi

Title: CATADIOPTIC REDUCTION PROJECTION OPTICAL
SYSTEM AND EXPOSURE APPARATUS HAVING THE
SAME

Express Mail Label No.

**APPLICATION
ELEMENTS**

See MPEP chapter 600
concerning utility
patent application contents.

ADDRESS TO:

**Commissioner for Patents
Box Patent Application
PO Box 1450
Alexandria, VA 22313-1450**

1. ☒ Fee Transmittal Form
2. ☒ Specification, Claims & Abstract.... [Total Pages: 13]
3. ☒ Drawing(s) (35 USC 113)..... [Total Sheets: 13] [FIGS. 1 - 15, 16A, 16B, and 16C- 28]
4. ☒ Oath or Declaration..... [Total Pages: 4]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d))
5. ☒ Consent of Assignee [Total Pages: 2]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application
6. ☐ This application is filed by fewer than all the inventors named in the prior nonprovisional application.
 - a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:
 - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
7. ☐ Verified Statement Claiming Small Entity Status
8. ☒ Incorporation by Reference (usable if Box 4b is checked)
The entire disclosure of the prior application (identified in Item 21), from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
9. ☒ Applicant claims foreign priority benefit to: Japanese Application 06-198350 filed August 23, 1994
10. ☐ Application Data Sheet. See 37 C.F.R. 1.76
11. ☐ CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)
12. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statement verifying identity of above copies
13. ☒ Assignment to Nikon Corporation of Tokyo, Japan at Reel 7623, Frame 592
☒ for publication of assignee information under 37 CFR 1.215(b)

ACCOMPANYING APPLICATION PARTS

14. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
15. ☐ English Translation Document (if applicable)
16. ☒ Foreign priority benefit under 35 U.S.C. §119 is claimed.
 - a. ☒ Certified Copy of Priority Document(s) filed in prior application No. 08/515,631.
 - b. ☐ Certified Copy of Priority Document(s) enclosed.
 - c. ☐ Certified Copy of Priority Document(s) to follow.
17. ☒ Original Patent Surrendered In parent application
18. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
19. ☒ Preliminary Amendment
 - a. ☒ enclosed herewith.
 - b. ☐ incorporated herein (see Box 21).
20. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

The PTO did not receive the following
listed item(s) Spec, claims, abstract
and drawings.

21. CONTINUING APPLICATION, check appropriate box and supply the requisite information below:

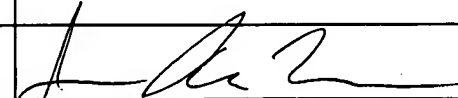
☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09/766,486.

Prior application information: Examiner: S. Sugarman
Group/Art Unit: 2873

22. NEW CORRESPONDENCE ADDRESS CUSTOMER NO. 21,171

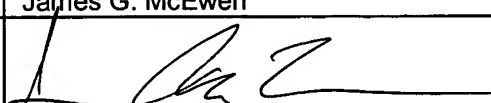
21171

23. SIGNATURE OF ATTORNEY OR AGENT

NAME	James G. McEwen	REGISTRATION NO.	41,983
SIGNATURE		DATE	December 15, 2003

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S&H Form (10/03)

NEW APPLICATION FEE TRANSMITTAL		Attorney Docket No.	1539.1004REC		
		Application Number	NOT ASSIGNED		
		Filing Date	December 15, 2003		
AMOUNT ENCLOSED	\$ 4720.00	First Named Inventor	Tomowaki Takahashi		
FEE CALCULATION (fees effective 10/01/01)					
CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS	120 - 20 =	100	X \$ 18.00 =	\$ 1800.00
	INDEPENDENT CLAIMS	28 - 3 =	25	X \$ 86.00 =	2150.00
	MULTIPLE DEPENDENT CLAIMS (any number; if applicable)			+ \$290.00 =	
	BASIC FILING FEE				770.00
	Total of above Calculations =				\$ 4720.00
	Surcharge for late filing fee, Oath or Declaration (37 CFR 1.53(f)) (\$130.00)				
	Reduction by 50% for filing by small entity (37 CFR 1.27).				
	TOTAL FILING FEE =				\$ 4720.00
	Surcharge for filing non-English language application (\$130.00; 37 CFR 1.52(d))				
	Recordation of Assignment (\$40.00; 37 CFR 1.21(h))				
TOTAL FEES DUE =				\$ 4720.00	
METHOD OF PAYMENT					
<input checked="" type="checkbox"/> Check enclosed as payment.					
<input type="checkbox"/> Charge "TOTAL FEES DUE" to the Deposit Account No. below.					
<input type="checkbox"/> No payment is enclosed and no charges to the Deposit Account are authorized at this time (unless specifically required to obtain a filing date).					
GENERAL AUTHORIZATION					
<input checked="" type="checkbox"/> If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to:					
Deposit Account No.		19-3935			
Deposit Account Name		STAAS & HALSEY LLP			
<input checked="" type="checkbox"/> The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application.					
SUBMITTED BY: STAAS & HALSEY LLP					
Typed Name	James G. McEwen		Reg. No.	41,983	
Signature			Date	December 15, 2003	